

Notice of Privacy Practices

THIS NOTICE DESCRIBES HOW MEDICAL INFORMATION ABOUT YOU MAY BE USED AND DISCLOSED AND HOW YOU CAN GET ACCESS TO THIS INFORMATION. PLEASE REVIEW IT CAREFULLY.

I. CTRLCARE BEHAVIORAL HEALTH

This Notice of Privacy Practices is provided by the substance use disorder and mental health treatment facilities and programs operated under the name CTRLCare Behavioral Health LLC ("CTRLCare").

II. WE HAVE A LEGAL DUTY TO SAFEGUARD YOUR PROTECTED HEALTH INFORMATION (PHI).

Pursuant to the Privacy Rules established by the Health Insurance Portability and Accountability Act of 1996, as amended ("HIPAA"), we are legally required to protect the privacy of your health information. We call this information "protected health information," or "PHI" for short. It includes information that can be used to identify you and that we've created or received about your past, present, or future health condition, the provision of health care to you, or the payment for this health care. We are required to provide you with this notice about our privacy practices. It explains how, when, and why we use and disclose your PHI. With some exceptions, we may not use or disclose any more of your PHI than is necessary to accomplish the purpose of the use or disclosure. We are legally required to follow the privacy practices that are described in this notice.

We reserve the right to change the terms of this notice and our privacy policies at any time. Any changes will apply to the PHI we already have. Whenever we make an important change to our policies that will affect the information contained in this notice, we will promptly change this notice and post a new notice in public common areas of our facilities such as the main reception/waiting area. You can also request a copy of this notice from the contact person listed in Section VI below at any time and can view a copy of this notice on CTRLCare's Web site at <https://www.CTRLCarebh.com/>.

III. HOW WE MAY USE AND DISCLOSE YOUR PROTECTED HEALTH INFORMATION.

CTRLCare's substance use disorder and mental health treatment facilities may use and disclose your PHI within CTRLCare's programs for purposes discussed in this notice. Generally, our substance use disorder treatment facilities may not disclose to persons outside our facilities that a patient is being or has been treated at our facilities, with certain exceptions outlined below. Below we describe the different categories of uses and disclosures.

A. Uses and Disclosures That Do Not Require Your Authorization.

We may use and disclose your PHI without your authorization for the following reasons:

- 1. For treatment.** We may disclose your PHI within our program or to an entity with direct administrative control over our program on a need-to-know basis in connection with the diagnosis, treatment or referral for treatment of substance use disorders and mental health conditions. For example, we may disclose your PHI to the physicians and therapists providing services to you, or to a laboratory for purposes of performing certain tests.

- 2. Appointment reminders and health related benefits or services.** We may use your PHI to provide appointment reminders or give you information about treatment alternatives, or other health care services or benefits we offer. Please let us know if you do not wish to have us contact you for these purposes, or if you would rather we contact you at a different telephone number or address.
- 3. Medical emergencies and threats to health.** We may disclose your PHI to medical personnel to the extent necessary to meet a bona fide medical emergency in which your prior informed consent cannot be obtained. In addition, in certain circumstances we may disclose your PHI to medical personnel of the Food and Drug Administration (FDA) who assert a reason to believe that the health of any individual may be threatened by an error in the manufacture, labeling or sale of a product under FDA jurisdiction, and that the information will be used for the exclusive purpose of notifying patients and their physicians of potential dangers.
- 4. For research purposes.** In certain circumstances, we may disclose PHI in order to conduct medical research.
- 5. Child abuse and neglect reporting.** We may disclose your PHI to make an initial report of child abuse and neglect, in accordance with applicable state law.
- 6. When a disclosure is required by a court order.** If a court order is in compliance with applicable federal and state law, we may disclose your PHI pursuant to a court order. A subpoena or other legal mandate may need to accompany the court order.
- 7. For specific government functions.** We may disclose PHI of military personnel and veterans in certain situations.
- 8. For certain government activities.** We may disclose your PHI for certain governmental activities. For example, in accordance with federal and state law, we may disclose PHI to assist the government or other health oversight agency with activities including audit and evaluation of our programs; civil, administrative, or criminal investigations, proceedings or actions; or other activities necessary for appropriate oversight as authorized by law.
- 9. Other audit and evaluation functions.** We may also disclose your information for other audit and evaluation purposes, including, for example, an audit or evaluation of our programs by a private third party insurance program.
- 10. Crimes on program premises or against program personnel.** We may disclose limited information about you relating to crimes committed or threatened to be committed by you on program premises or against program personnel.

B. Uses and Disclosures Where You Have the Opportunity to Object:

- 1. Disclosures to family, friends, or others.** If you have indicated family, friends or others involved in your care or the payment for your health care and you authorize us to discuss your care with these individuals, we may provide your PHI to these individuals, unless you object. We will obtain your written authorization for these types of disclosure, unless we are authorized by law to make the disclosure without consent.

2. Disclosures pursuant to a subpoena or other legal mandate.

We may disclose your PHI in response to a subpoena or other legal mandate, provided that you sign an authorization form permitting release of the information requested in the subpoena. If required by state and/or federal law, we will not respond to the subpoena unless and until we also receive a court order in accordance with such law.

- C. All Other Uses and Disclosures Require Your Prior Written Authorization.** Other than as stated in this notice, we will not disclose your PHI without your written authorization. You can later revoke your authorization in writing except to the extent that we have taken action in reliance upon the authorization.
- D. Authorization for Marketing Communications.** We will obtain your written authorization prior to using or disclosing your PHI for marketing purposes. However, we are permitted to provide you with marketing materials in a face-to-face encounter, without obtaining a marketing authorization. We are also permitted to give you a promotional gift of nominal value, if we so choose, without obtaining a marketing authorization. In addition, as long as we are not paid to do so, we may communicate with you about products or services relating to your treatment, case management or care coordination, or alternative treatments, therapies, providers or care settings. We may use or disclose PHI to identify health-related services and products that may be beneficial to your health and then contact you about the services and products.
- E. Sale of PHI.** We will disclose your PHI in a manner that constitutes a sale only upon receiving your prior authorization. Sale of PHI does not include a disclosure of PHI for: public health purposes; research; treatment and payment purposes; sale, transfer, merger or consolidation of all or part of our business and for related due diligence activities; the individual; disclosures required by law; any other purpose permitted by and in accordance with HIPAA. However, 42 C.F.R. Part 2 restricts any such disclosures, we will comply with the same.
- F. Fundraising Activities.** We do not engage in fundraising activities.
- G. Incidental Uses and Disclosures.** Incidental uses and disclosures of information may occur. An incidental use or disclosure is a secondary use or disclosure that cannot reasonably be prevented, is limited in nature, and that occurs as a by-product of an otherwise permitted use or disclosure. However, such incidental uses or disclosure are permitted only to the extent that we have applied reasonable safeguards and do not disclose any more of your PHI than is necessary to accomplish the permitted use or disclosure. For example, disclosures about a patient within our facilities that inadvertently might be overheard by persons not involved in your care would be permitted so long as we have implemented reasonable safeguards to prevent or limit such inadvertent disclosures.
- H. Business Associates and Qualified Service Organizations.** We may engage certain persons to perform certain of our functions on our behalf and we may disclose certain health information to these persons. For example, without obtaining your written authorization, we may share certain PHI with our billing company or a laboratory in order to facilitate our health care operations or payment for services provided in connection with your care, or may share certain PHI with certain professionals providing services to our organization, such as our accountants and attorneys. We will require our business associates and qualified service organizations to enter into an agreement to keep your PHI confidential and to abide by certain terms and conditions.

IV. WHAT RIGHTS YOU HAVE REGARDING YOUR PHI.

You have the following rights with respect to your PHI:

- A. The Right to Request Limits on Uses and Disclosures of Your PHI.** You have the right to request in writing that we limit how we use and disclose your PHI. You may not limit the uses and disclosures that we are legally required to make. We will consider your request but are not legally required to accept it. Notwithstanding the foregoing, you have the right to ask us to restrict the disclosure of your PHI to your health plan for a service we provide to you where you have directly paid us (out of pocket, in full) for that service, in which case we are required to honor your request. If we accept your request, we will put any limits in writing and abide by them except in emergency situations. Under certain circumstances, we may terminate our agreement to a restriction.
- B. The Right to Choose How We Send PHI to You.** You have the right to ask that we send information to you at an alternate address (for example, sending information to your work address rather than your home address) or by alternate means (for example, via e-mail instead of regular mail). We must agree to your request so long as we can easily provide it in the manner you requested.
- C. The Right to See and Get Copies of Your PHI.** In most cases, you have the right to look at or get copies of your PHI that we have, but you must make the request in writing. If we don't have your PHI but we know who does, we will tell you how to get it. We will respond to you within 30 days after receiving your written request. In certain situations, we may deny your request. If we do, we will tell you, in writing, our reasons for the denial and explain your right to have the denial reviewed.
- If you request a copy of your information, we will charge reasonable fees for the costs of copying, mailing or other costs incurred by us in complying with your request, in accordance with applicable law. Instead of providing the PHI you requested, we may provide you with a summary or explanation of the PHI as long as you agree to that and to the cost in advance. Note also that, you have the right to access your PHI in an electronic format (to the extent we maintain the information in such a format) and to direct us to send the e-record directly to a third party. We may charge for the labor costs to transfer the information; and charge for the costs of electronic media if you request that we provide you with such media.
- **Please note, if you are the parent or legal guardian of a minor, certain portions of the minor's records may not be accessible to you. For example, records relating to care and treatment to which the minor is permitted to consent himself/herself (without your consent) may be restricted unless the minor patient provides an authorization for such disclosure. ****
- D. The Right to Get a List of the Disclosures We Have Made.** You have the right to get a list of instances in which we have disclosed your PHI. The list will not include uses or disclosures made for purposes of treatment, payment, or health care operations, those made pursuant to your written authorization, or those made directly to you or your family. The list also won't include uses and disclosures made for national security purposes, to corrections or law enforcement personnel, or prior to April 14, 2003.

We will respond within 60 days of receiving your written request. The list we will give you will include disclosures made in the last six years unless you request a shorter time. The list will include the date of the disclosure, to whom PHI was disclosed (including their address, if known), a description of the information disclosed, and the reason for the disclosure. We will provide one (1) list during any 12-month period without charge, but if you make more than one request in the same year, we will assess a nominal charge for each additional request.

To the extent that we maintain your PHI in electronic format, we will account all disclosures including those made for treatment, payment and health care operations. Should you request such an accounting of your electronic PHI, the list will include the disclosures made in the last three years.

In addition, if you have consented to disclose your PHI using a general designation as described on the authorization form, you have a right, upon your written request, to receive from the entity named on the consent form, within 30 days of your request, a list of entities to which your information has been disclosed pursuant to the general designation. In this instance, the list will be limited to disclosures made within the past two years.

E. The Right to Receive Notice of a Breach of Unsecured PHI. You have the right to receive notification of a “breach” of your unsecured PHI.

F. The Right to Correct or Update Your PHI. If you believe that there is a mistake in your PHI or that a piece of important information is missing, you have the right to request, in writing, that we correct the existing information or add the missing information. You must provide the request and your reason for the request in writing. We will respond within 60 days of receiving your request in writing. We may deny your request if the PHI is (i) correct and complete, (ii) not created by us, (iii) not allowed to be disclosed, or (iv) not part of our records. Our written denial will state the reasons for the denial and explain your right to file a written statement of disagreement with the denial. If you don't file one, you have the right to have your request and our denial attached to all future disclosures of your PHI. If we approve your request, we will make the change to your PHI, tell you that we have done it, and tell others that need to know about the change to your PHI.

F. The Right to Get This Notice by E-Mail. You have the right to get a copy of this notice by e-mail, upon your request. Even if you have agreed to receive notice via e-mail, you also have the right to request a paper copy of this notice.

V. HOW TO COMPLAIN ABOUT OUR PRIVACY PRACTICES.

If you think we may have violated your privacy rights, or you disagree with a decision we made about access to your PHI, you may file a complaint with the person listed in Section VI below. You also may send a written complaint to the Secretary of the U.S. Department of Health and Human Services via email at OCRComplaint@hhs.gov or through the mail at 200 Independence Ave., S.W.; Room 509F, HHH Bldg., Washington, DC 20201. We will take no retaliatory action against you if you file a good-faith complaint about our privacy practices.

VI. PERSON TO CONTACT FOR INFORMATION ABOUT THIS NOTICE OR TO COMPLAIN ABOUT OUR PRIVACY PRACTICES.

If you have any questions about this notice or any complaints about our privacy practices, please contact our Privacy Officer at 609.237.0088. Written correspondence to our Privacy Officer should be sent to CTRLCare Behavioral Health, 29 Emmons Drive, Suite G-30 Princeton, NJ 08540, Attention: Privacy Officer.

VII. EFFECTIVE DATE OF THIS NOTICE

NOTICE – EFFECTIVE January 1, 2022

ADDITIONAL PRIVACY NOTICE TO SUBSTANCE USE DISORDER TREATMENT PROGRAM PARTICIPANTS CONCERNING CONFIDENTIALITY OF TREATMENT RECORDS

If you receive services from the substance use disorder treatment programs of **CTRLCare Behavioral Health LLC** (CTRLCare), please read this notice.

The confidentiality of substance use disorder treatment patient records maintained by CTRLCare is protected by the federal law and regulations at 42 U.S.C. § 290dd-2 and 42 C.F.R. Part 2 (the “Federal Confidentiality Laws”). Generally, CTRLCare may not say a word to a person outside CTRLCare that a participant attends CTRLCare’s substance use disorder treatment program, or disclose any information identifying a participant in the program or releasing the participant’s records, *unless*:

1. The participant consents in writing;
2. The disclosure is authorized or required by a court order which, in certain circumstances, must be accompanied by a subpoena or other legal mandate;
3. The disclosure is made to medical personnel to the extent necessary to meet a bona fide medical emergency in which your prior informed consent cannot be obtained;
4. The disclosure is made to medical personnel of the Food and Drug Administration (FDA) who assert a reason to believe that the health of any individual may be threatened by an error in the manufacture, labeling or sale of a product under FDA jurisdiction, and that the information will be used for the exclusive purpose of notifying patients and their physicians of potential dangers;
5. The disclosure is made to qualified personnel to conduct medical research, in certain circumstances and with certain limitations; or
6. The disclosure is for certain governmental functions or for the audit and evaluation of our Program.

Violation of the Federal Confidentiality Laws by CTRLCare is a crime. Suspected violations may be reported to appropriate authorities in accordance with federal regulations. Such reports may be made to the United States Attorney for the judicial district in which CTRLCare’s program is located. A list of United States Attorneys may be found at: <https://www.justice.gov/usao/us-attorneys-listing>. If the applicable program is an opioid treatment program, the violation also may be reported to the SAMHSA office responsible for opioid treatment oversight. SAMHSA contact information may be found at: <https://www.samhsa.gov/about-us/contact-us>.

The Federal Confidentiality Laws do not protect information about a crime committed by a substance use disorder program participant either at CTRLCare’s premises or against any person who works for CTRLCare or about any threat to commit such a crime.

The Federal Confidentiality Laws do not protect information needed to report suspected child abuse or neglect to appropriate state or local authorities, as required under applicable state law.

Legal References: 42 U.S.C. § 290dd-2 and 42 C.F.R. Part 2.

For questions concerning this notice, contact:

Write: CTRLCare Behavioral Health
29 Emmons Drive, Suite G-30 Princeton, NJ 08540
Attn: Privacy Officer

Call: 609.237.0088, ask for Privacy Officer